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PATENT
Attorney Docket No.: A4211/T33800
TTC Reference No. 16301-033800
Client Ref. No.: 004211

On August 15, 2002

TOWNSEND and TOWNSEND and CREW LLP

By:

Sara B. McPeak

A
3/w.m.
1/12/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas Nowak et al.

Application No.: 09/905,515

Filed: July 13, 2001

For: ENHANCED REMOTE PLASMA
CLEANING

Examiner: Unassigned

Art Unit: 1746

PRELIMINARY AMENDMENT

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Assistant Commissioner for Patents
U. S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments. Please substitute the following claims 7-14 and 16-21 for the pending claims of the same numbers. Additionally, please add claims 23-33, and cancel claims 1-6, 15 and 22. A clean copy of the claims is represented herein and a marked up copy showing the amendments is found at the end of this Amendment under *Version With Markings To Show Changes Made*.

01/12/2004 WHITCHEL 00000001 201430 09905515

IN THE CLAIMS:

01 FC:1202 54.00 DA
02 FC:1201 84.00 DA

Please cancel claims 1-6 without prejudice.

Al

Atty Docket No. A4211/T33800
TTC File No. 016301-033800US

PTO FAX NO.: (703) 872-9311

ATTENTION: Examiner Unassigned

Group Art Unit 1746

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CERTIFICATION OF FACSIMILE TRANSMISSION

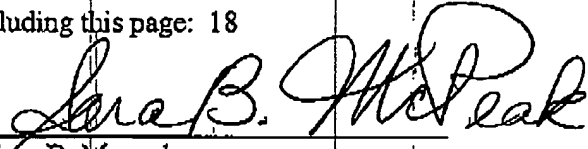
I hereby certify that the following document(s) in re Application of Thomas Nowak et al., Application No. 09/905,515, filed July 13, 2001 for ENHANCED REMOTE PLASMA CLEANING is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal form (1 page)
2. Fee Transmittal (1 page in duplicate)
3. Preliminary Amendment (14 pages)

Number of pages being transmitted, including this page: 18

Dated: August 15, 2002


Sara B. McPeak

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031


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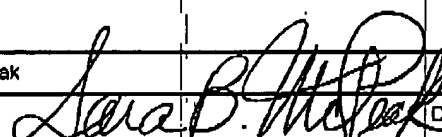
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/905,515	
	Filing Date	July 13, 2001	
	First Named Inventor	Nowak, Thomas et al.	
	Group Art Unit	1746	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	A4211/33800

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) Facsimile Cover Sheet
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Douglas M. Hamilton	Reg. No.	47,629
Signature			
Date	August 15, 2002		

CERTIFICATE OF FACSIMILE TRANSMISSION

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Typed or printed name	Sara B. McPeak	
Signature		Date
		August 15, 2002

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DE 7079435 v1

PTO/SB/17 (11-01)
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 138

Complete if Known

Application Number 09/805,515

Filing Date July 13, 2001

First Named Inventor Nowak, Thomas et al.

Examiner Name Unassigned

Group Art Unit 1748

Attorney Docket No. A4211/T33800

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GROUP 1700

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

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Deposit Account Name Townsend and Townsend and Crew LLP

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☒ Charge fee(s) indicated below ☐ Credit any overpayments

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	208	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
25	-22**	3	\$18
Independent Claims	6	-5**	1
Multiple Dependent			

**or number previously paid, if greater. For Reissues, see above

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	16	203	8	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
108	84	209	42	** Reissue independent claims over original patent	
110	16	210	8	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or date	
127	60	227	25	Surcharge - late provisional filing fee or cover sheet	
138	130	138	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	820	217	400	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,880	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	820	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$)

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Douglas M. Hamilton	47,829	303-571-4000
Signature	Date	August 15, 2002

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